Application Serial No. 10/625,645 Attorney Docket No.: 09172.0006U1

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	)	
Christian	) Art Unit:	1623
Application No.: 10/625,645	) Examiner:	Maier, Leigh C.
Filing Date: July 22, 2003	) Confirmation:	9726
For: "PHARMACEUTICAL DOPAMINE GLYCOCONJUGATE COMPOSITIONS AND METHODS OF THEIR PREPARATION"	) ) ) )	

## TERMINAL DISCLAIMER UNDER 37 C.F.R. § 1.321(c)

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 NEEDLE & ROSENBERG, P.C.

Customer No. 23859

Sir:

International Medical Innovations, (hereinafter "IMI"), is assignee of the entire right, title, and interest in U.S. Patent No 6,548,484, filed April 12, 2000 and assigned U.S. Application Serial No. 09/547,506, as shown by the assignments recorded in the patent assignment records of the U.S. Patent and Trademark Office on December 31, 2002, at Reel/Frame 013615/0734, and in the above-identified application as evidenced by the following chain of title:

From: Samuel T. Christian

Γο: International Medical Innovations, Inc.

A copy of which is attached hereto.

From: John S. Sundsmo

To: International Medical Innovations, Inc.

Application Serial No. 10/625,645 Attorney Docket No.: 09172.0006U1

A copy of which is attached hereto.

Said assignee hereby disclaims the terminal part of any patent granted on the aboveidentified application, which would extend beyond the expiration date of the full statutory term
as defined in 35 U.S.C. §§ 154 to 156 and 173 of U.S. Patent No. 6,548,484, as presently
shortened by any terminal disclaimer, and hereby agrees that any patent so granted on the above
identified application shall be enforceable only for and during such period that the legal title to
said patent shall be the same as the legal title to U.S. Patent No. 6,548,484, this agreement to run
with any patent granted on the above identified application and to be binding upon the grantee,
its successors or assigns.

In making the above disclaimer, the assignee does not disclaim any terminal part of any patent granted on the instant application that would extend to the expiration date of the full statutory term as defined in 35 U.S.C. §§ 154 to 156 and 173 of U.S. Patent No. 6,548,484, as presently shortened by any terminal disclaimer, in the event that it later: expires for failure to pay a maintenance fee, is held unenforceable, is found invalid by a court of competent jurisdiction, is statutorily disclaimed in whole or terminally disclaimed under 37 C.F.R. § 1.321, has all claims canceled by a reexamination certificate, is reissued, or is in any manner terminated prior to the expiration of its full statutory term as presently shortened by any terminal disclaimer.

Enclosed herewith is the \$65.00 fee under 37 C.F.R. § 1.20(d) for a terminal disclaimer for a small entity. The amount is believed to be correct; however, the Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 14-0629.

The undersigned is authorized to sign on behalf of IMI as attorney of record.

Done this 7th day of May, 2007, at Atlanta, Georgia.

Respectfully submitted,

NEEDLE & ROSENBERG, P.C.

D. Brian Shortell, JD, PAD Registration No. 56,020

NEEDLE & ROSENBERG, P.C. Customer Number 23859 (678) 420-9300 (678) 420-9301 (fax)

## INVENTOR'S AGREEMENT

THIS INVENTOR'S AGREEMENT (the "Agreement") is made and entered into as of the 29<sup>th</sup> day of August, 2000, by DR. SAMUEL T. CHRISTIAN ("Inventor") and IMI INTERNATIONAL MEDICAL INNOVATIONS, INC., an Alabama Corporation (the "Company").

WHEREAS, Inventor, who is an employee of IMI, has invented a new and useful improvement in pharmaceutical agents entitled "Novel Pharmaceutical Agents Containing Carbohydrate Moleties And Methods Their Preparation And Use," for which application for letters patent of the United States was filed on April 12, 2000, Serial No. 09-547501, the abstract and summary of which is attached hereto as Exhibit A, ("Invention One"); and

WHEREAS, Inventor has invented another new and useful improvement in pharmaceutical agents entitled "Pharmaceutical Dopamine Glycoconjugate Compositions and Methods of Their Preparation," for which application for letters patent of the United States was filed on April 12, 2000, Serial No. 09-547506, the abstract and summary of which is attached hereto as Exhibit B, ("Invention Two"); and

WHEREAS, Inventor may invent additional new and useful products, for which an application for a patent may or may not made, while this Agreement is in effect (the "Future Inventions"):

WHEREAS, Company desires to acquire the Inventor's entire interest in Invention One and Invention Two, and in the Future Inventions, and in any and all of the letters patent to be obtained therefor, and the Inventor is willing to grant, bargain, sell and assign such interest on the terms and conditions recited herein;

NOW THEREFORE, the parties hereto, in consideration of the foregoing premises, which are incorporated herein by reference, the mutual covenants and premises hereinafter contained, and good and vahable consideration, the receipt and sufficiency of which is hereby acknowledged, do hereby agree as follows:

1. Assignment of Intellectual Property. Inventor hereby grants, bargains, sells, transfers, conveys, assigns and surrenders to the Company, its successors and assigns, to have and to hold forever, all of his right, title and interest in Invention One and Invention Two including divisions, reissues and continuations for the territory of the United States and its possessions and territories and all foreign countries. Inventor further covenants and agrees for himself, his successors, assigns, heirs, executors and administrators, to warrant and defend the assignment and sale hereby made to the Company against any and every person or persons whomsoever. Inventor represents and warrants to the Company that Inventor owns end/or holds all right, title and interest in and to Invention One and Invention Two including divisions, reissues and continuations for the territory of the United States and its possessions and territories and all foreign countries and no person or entity has any other right, title or interest therein for any location or use. Upon the invention of a Future Invention while this Agreement is in effect, Inventor and the Company agree to enter into an Assignment of Intellectual Property therefor, on terms the same as for Invention One and Invention Two under this Paragreph 1, and under Paragraph 2, below.

- 2. Letters Patent. Inventor authorizes and requests the Commissioner of Patents and Trademarks to issue the letters patent for Invention One and Invention Two to IMI INTERNATIONAL MEDICAL INNOVATIONS, INC., as assignee of the Inventor's entire interest in the application for said letters patent and any and all United States Letters Patent which may be granted on said invention One and Invention Two including divisions, reissues and continuations. Inventor agrees to communicate to the Company or its representatives or nominees any facts known to the Inventor respecting said Invention One and Invention Two and to testify in any legal proceedings, sign all lawful papers, execute all divisional, continuing, and reissue applications, make all rightful caths, and generally aid said Company, its successors, assigns, and nominees to obtain and enforce proper patent protection for said invention in all countries.
- 3. Compensation. In consideration of the aforementioned assignment in Paragraph One and Two hereof the Company, together with its successors and assigns, shall pay to the Inventor, his heirs, successors and assigns, whether or not inventor is then an employee of the Company, a calendar quarterly bonus equal to three percent (3%) of the Company's gross receipts realized on the cash basis of accounting for the preceding calender quarter generated in any way by Invention One or Invention Two or the Future Inventions to the extent governed by one or more claims of patent issued in any country or region, which claims have not expired and have not been invalidated or declared uncerforceable, to be paid by the twentieth (20th) day of the first month in the next succeeding calendar quarter. As used in this Inventor's Agreement, the "Company's gross receipts" shall mean the Company's gross income, both foreign and domestic.
- 4. Waiver. Any party's failure to insist on compliance or enforcement of any provision of this Inventor's Agreement shall not affect the validity or enforceability or constitute a waiver of future enforcement of that provision or of any other provision of this Agreement by that party or any other party.
- 5. Severability. The invalidity or unenforceability of any provision in the Inventor's Agreement shall not in any way affect the validity or enforceability of any other provision and this Inventor's Agreement shall be construed in all respects as if such invalid or unenforceability provision had never been in the Inventor's Agreement.
- 6. Entire Agreement. This Inventor's Agreement contains the entire agreement and Invention One and Invention Two and the Future Inventions, and compensation therefor, and no representations, promises, agreements or understandings, written or oral, relating to the assignment of Invention One and Invention Two and the Future Inventions, and compensation therefor, and or oral relating to the assignment of Invention One and Invention Two and the Future Inventions, and compensation therefor not contained herein shall be of any force or effect.
- 7. Further Assurances. Each party agrees, promptly upon request, to provide such further assurances and to execute such further documents as the other party reasonably may request for the purpose of evidencing, confirming, effecting, perfecting, preserving, or enforcing the rights, licenses, and obligations of or to either party under this Inventor's Agreement, or for the purpose of giving the public notice thereof worldwide.

- Burden and Benefit. This Inventor's Agreement shall be binding upon, and shall inure to the benefit of, the Company and the Inventor, and each of them, and their respective heirs, personal and legal representatives, successors and assigns.
- 9. Governing Law. This Inventor's Agreement shall be interpreted, construed and governed according to the laws of the State of Alabama and applicable federal law, as applied to contracts executed and performed within the State of Alabama. The parties hereto agree to bring any action or proceeding relating to this Inventor's Agreement, its interpretation, performance, or breach, in the federal or state courts located within that State and in no other forum.
- 10. Execution. This Inventor's Agreement may be executed simultaneously in one or more counterparts, each of which shall be deemed and original.
- 11. Dispute Resolution. All disputes between the Inventor and the Company pertaining to the terms and conditions of this Agreement shall be resolved by binding arbitration in accordance with the then commercial arbitration rules of the American Arbitration Association.
- 12. Term of Agreement. This Agreement is effective as of the 29th day of August, 2000, and shall continue in effect until the date when Inventor dies, resigns as an employee of the Company, retires as a full-time employee of the Company, or is terminated by the Company (the "Termination Date"). Nevertheless, following the Termination Date, the Inventor, together with his successors, heirs, assigns and his estate, shall be entitled to the calendar quarterly bonus described in Paragraph 3 hereunder on (i) all Company gross receipts generated in any way by Invention One or Invention Two or the Future Inventions prior to the Termination Date upon which the calendar quarterly bonus has not been previously paid to the Inventor; and (ii) all Company gross receipts generated in any way by Invention One or Invention Two or the Future Inventions during the ten (10) year period commencing on the Termination Date and expiring on the tenth (10 th aniversary thereof.
- 13. Limited Company Buy-Out Right. Within thirty (30) days after the Inventor's death, or within thirty (30) days after the Company commences its Initial Public Offering ("IPO"), the Company, by written notice (the "Buy-Out Notice"), may satisfy its quarterly bonus obligations to the Inventor under Paragraph 3 hereof by paying the Inventor or his estate, as applicable, a lump sum cash payment equal to the fair market value of the quarterly bonus obligations hereunder (the "Buy-Out Price").

The Inventor or his estate and the Company shall attempt to determine the Buy-Out Price through negotiations within thirty (30) days after the receipt by the Inventor or his estate of the Buy-Out Notice. If the negotiations are successful, the Buy-Out Price shall be due and payable, in full, within sixty (60) days after the receipt by the Inventor or his estate of the Buy-Out Notice.

If the negotiations fail, then the Buy-Out Price shall be determined by binding arbitration in accordance with Paragraph 11, with the Buy-Out Price being due and payable, in full, within thirty (30) days after the Buy-Out Price is so determined by binding arbitration.

Notwithstanding the foregoing, following the Company's delivery of the Buy-Out Notice

to the Inventor, and until the Buy-Out Price is paid by the Company to the Inventor or his estate, the Company shall continue to remit to the Inventor or his estate the calendar quarterly bonus payments required hereunder, with such calendar quarterly bonus payments remitted to the Inventor or his estate by the Company between the time of the Buy-Out Notice and the time of the Buy-Out Price reducing the Buy-Out Price dollar-for-dollar.

14. Headings. The various headings in this Inventor's Agreement are inserted for convenience only and are not part of the Agreement.

IN WITNESS WHEREOF, the Inventor and the Company have duly executed this Inventor's Agreement as of the day and year first above written.

THE INVENTOR:

(L.S.)

WITNESS: 201 / 1

THE COMPANY:

Dr. Samuel T. Christian

IMI INTERNATIONAL MEDICAL

INNOVATIONS, INC.

Its: President

ATTEST:

By: Colon Celler

[Corporate Seal]

MATERION COCKET	
Dr. Samuel T. Christian whose name as Invent and who is known to me, acknowledged before	nd for said County, in said State, hereby certify that or is signed to the foregoing Inventor's Agreement e me on this day that, being fully informed of the ted the same voluntarily on the day the same bears
Given under my hand and official seal	on Aug. 30, 2000.
-	Notary Public  Notary Public  Notary Funda, Approx 2 to estance My commission Expired Commission Expired Learner Of the
(NOTARIAL SEAL)	my commission expense
(NO ENGLISHED)	
STATE OF ALABAMA ) JEFFERSON COUNTY )	
Michael P. Allen, in his capacity as President of	ad for said County, in said State, hereby certify that IMI International Medical Innovations, Inc., whose gg Inventor's Agreement and who is known to me,

acknowledged before me on this day that, being fully informed of the contents of the foregoing

Notary Public

My commission Expires: July 14, 2003

instrument, he executed the same voluntarily on the day the same bears date.

Given under my hand and official seal on Aug. 30, 2000.

STATE OF ALABAMA

(NOTARIAL SEAL)

## ASSIGNMENT

WHEREAS, I, John S. Sundsmo, of Sunnyvale, California, along with Samuel T. Christian of Chelsea, Alabama, have jointly invented new and useful improvements in "PHARMACEUTICAL DOPAMINE GLYCOCONJUGATE COMPOSITIONS AND METHODS OF THEIR PREPARATION" for which an application for United States Letters Patent was filed on July 22, 2003, and assigned Application No. 10/625,645; and

WHEREAS, International Medical Innovations, Inc., whose mailing address is Suite 100, 2871 Acton Road, Birmingham, Alabama 35243, is desirous of acquiring my entire interest in the same:

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, I, John S. Sundsmo, hereby do sell, assign, and transfer unto said International Medical Innovations, Inc., my entire right, title, and interest in and to said invention and application throughout the world, including, without limitation, any Letters Patent which may issue thereon, and any subsequent application claiming priority to the above-identified application, reissue, reexamination, divisional, continuation-in-part, extension, or continuation thereof and all rights of priority under the Paris Convention arising from said application; the same for international Medical Innovations, Inc.'s legal representatives and assigns, as fully and entirely as the same would have been held by us had this assignment and sale not been made;

AND, I hereby bind myself, my heirs, legal representatives, administrators, and assigns properly to execute without further consideration any and all applications, petitions, oaths, and assignments or other papers and instruments which may be necessary in order to carry into full force and effect, the sale, assignment, transfer, and conveyance hereby made or intended to be made and generally do everything possible to aid International Medical Innovations, Inc., its legal representatives, and assigns, to obtain and enforce proper protection for said invention in all countries throughout the world.

IN WITNESS WHEREOF, I have executed this Assignment this 27 day of July, 2006.

OUN S STRINGMO